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WORKING GROUP ON TRANSPARENCY AND REPORTING CHAIR'S REPORT TO CSP10

INTRODUCTION

1. This draft report to the Tenth Conference of States Parties (CSP10) is presented by the Chair of the Working Group on Transparency and Reporting (WGTR) to reflect the work conducted by the WGTR since CSP9 and to put forward recommendations for consideration by CSP10.
2. The draft report includes the following attachments:
 - a. [Attachment A: Draft multi-year work plan for the WGTR exchange of national implementation practices regarding transparency](#); and
 - b. [Attachment B: Proposed mandate for the WGTR for the period September 2024 - August 2025](#).

23 FEBRUARY 2024 WGTR MEETING

Agenda item 1: State of play of compliance with reporting obligations and challenges concerning reporting

Status of reporting

3. Under this item, the Working Group reviewed the status of reporting based on a [presentation by the ATT Secretariat](#). The presentation confirmed that at that stage, only 55% of States Parties had complied fully with their annual reporting obligation, with an additional 23% of States Parties that had complied partially (meaning that they had submitted some of the annual reports which they were due, but not all). The annual reporting rate for the calendar year 2022 currently stands at 63%, which is the lowest ever so far. The initial reporting rate stands at 81%.
4. In response to the presentation, several delegations emphasized the importance of reporting, expressed their concern about the low annual reporting rate and / or explained their challenges to submitting annual reports or to do so on time. A number of delegations emphasized that their reporting arrears and delays are not due to a lack of commitment, but to internal issues on which they are working on. Delegations also expressed their appreciation for the proactive support of the ATT Secretariat on reporting and the work done by international assistance providers. In turn, international assistance providers welcomed the sharing of reporting challenges, as this helps in outreach planning. From the side

of civil society, reference was made to Control Arms' ATT Monitor and the upcoming launch of an online dashboard displaying various categories of information from States Parties' annual reports, which will offer comprehensive insights into States Parties' arms imports and exports.

Exchange of reporting challenges and national reporting challenges

5. In line with the working arrangements for its anticipated structured discussions (see paragraph 16), the Chair invited "regional reporting champion" Sierra Leone as well as Trinidad and Tobago, to give a presentation about the challenges they face in submitting annual reports, the measures they have taken to overcome those challenges and/or the processes they have put in place to collect, consolidate and transmit the relevant information to the ATT Secretariat. Sierra Leone highlighted the importance of inter-agency cooperation for effective reporting; it has established a reporting committee that cooperates with the competent authority for general implementation of the Treaty. Sierra Leone also indicated that access to the import (and export) records of the armed forces is important for reporting. [Trinidad and Tobago](#) presented several measures to address challenges such as delays in the bureaucratic process, lack of continuity in personnel and problems with the data management systems of relevant agencies. These measures included establishing a responsible entity, building the capacity of the ATT Point of Contact, reviewing legislation and making use of the VTF to develop an integrated data management IT system for stockpiling management in the private sector.

*"Outreach strategy on reporting" and the project of voluntary practical bilateral and regional assistance with reporting (peer-to-peer)*¹

6. Concerning the outreach strategy on reporting, [Côte D'Ivoire](#) reported on the VTF-funded reporting capacity-building workshop which it hosted in November 2023 for ECOWAS Member States (and Cameroon) in view of the low reporting rate among ECOWAS Member States. The workshop, facilitated by the ATT Secretariat, covered all aspects of ATT reporting and focused in particular on the practical implementation of the initial and annual reporting obligations, setting out the key elements and considerations of an effective national reporting process. The workshop also included a general exercise, requiring participants to prepare an outline of a national reporting procedures document (reporting process) for their State. Going forward, key recommendations coming out of the workshop included: i) strengthening regional cooperation; ii) harmonizing reporting procedures; iii) strengthening national capacities; iv) awareness-raising; and v) engaging civil society.

7. Subsequently, the ATT Secretariat updated the Working Group about its new two-year project regarding capacity-building for ATT reporting, supported by the European Union (EU).² To strengthen States Parties' understanding and capacity, the ATT Secretariat will, inter alia, promote existing ATT guidance material, develop a voluntary guidance document for States Parties with possible measures for the organization of ATT reporting duties, organize practical reporting workshops in targeted regions or subregions with low reporting rates, prepare training materials for regular online informative sessions and explore coordination and cooperation opportunities with other international assistance providers and

¹ The "Outreach strategy on reporting" is included in the WGTR co-chairs' report to CSP4.

² See Council Decision (CFSP) 2023/2296 of 23 October 2023 on Union support for activities of the Arms Trade Treaty Secretariat in support of the implementation of the Arms Trade Treaty, available at: https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=OJ%3AL_202302296.

bodies of similar international, regional and subregional instruments.

8. Concerning the project of voluntary practical bilateral and regional assistance with reporting (peer-to-peer), the ATT Secretariat reported on the outcomes of the two meetings it had organised concerning this project in the margins of the WGTR meeting: i) the third informal breakfast meeting on reporting, bringing together State Party delegates in need of practical advice on reporting with their peers in States Parties with experience on this subject; and ii) a brainstorm meeting with the States Parties that had accepted to take on the role of “regional reporting champion”, to further explore their possible assistance opportunities.

9. About the informal breakfast meeting on reporting, the ATT Secretariat explained that of the 47 States Parties that had not submitted one or more annual reports and/or its initial report, only a few effectively participated in the meeting, which will require some reflection about how to involve the relevant States Parties in peer-to-peer exchanges most effectively. The participating delegates nevertheless engaged strongly in the kind of concrete peer-to-peer exchanges for which the informal breakfast meeting on reporting was organized.

10. About the brainstorm meeting with the “regional reporting champions”, the ATT Secretariat reported that the following “regional reporting champions” participated in the meeting: Benin, France, Jamaica, Japan, Mexico, Romania and Sierra Leone. These delegations exchanged experiences and established that there currently is a lack of awareness about the regional reporting champions initiative with the States Parties that might be interested in receiving assistance. At the same time, it is difficult for regional reporting champions to assess concrete reporting assistance needs. To address this problem, it was signalled that the new ATT Needs and Resources Matching Database (ATT International Assistance Database) on the ATT website might provide an opportunity.³ Delegations also discussed the need to use and promote the ATT guidance documents on reporting interactions with relevant States, as well as the VTF and other international assistance providers, to facilitate States Parties building sustainable reporting systems. Delegations also considered the significant role of regional organisations in awareness-raising and capacity-building and agreed that it would be important to identify those organisations in their region which would be most relevant. International assistance or outreach providers could involve regional reporting champions in their efforts on reporting. In that respect, the ATT Secretariat will also seek to involve regional reporting champions in the reporting workshops it will organize as part of the project regarding capacity-building for ATT reporting, supported by the EU (see paragraph 7).

11. Following the report of the ATT Secretariat, “regional reporting champions” reiterated their availability to share reporting practices with their peers in the region. Other delegations, including regional organisations and States Parties that are experiencing challenges in submitting reports, expressed their overall support for the peer-to-peer project.

³ See <https://database.thearmstradetreaty.org/>.

Agenda item 2: Substantive reporting and transparency issues under article 13 of the ATT⁴

12. Under this item, the Chair invited delegations to raise substantive reporting issues/topics that could benefit from consideration by the WGTR, and highlighted the guidance on the topics of public availability and aggregation of data that is included in the voluntary 'FAQ'-type guidance document on the annual reporting obligation, as well as the possibility for States Parties to indicate in the Annual Reporting Template that the information in their annual report may be used as a basis for their UNROCA report.

13. Regarding public availability, some delegations encouraged States Parties to make their reports publicly available. One delegation reiterated that making initial and annual reports publicly available is not a Treaty obligation (as also mentioned in the FAQ'-type guidance document on the annual reporting obligation) and that similar information to the ATT annual report is available on the UNROCA website. Regarding the use of reported information for UNROCA, delegations called for strengthening links with other reporting instruments. More generally, delegations called for maximum transparency, emphasizing that a thriving defence industry and transparency go hand in hand.

Agenda item 3: Transparency and information exchange

14. Under this agenda item, delegations can raise issues and proposals about the information exchanges that the Treaty requires or encourages States Parties to undertake and share their views on two mechanisms that were developed by the WGTR to facilitate information exchange and reporting: the Information Exchange Platform and the online reporting tool. On this topic, the Chair also reminded delegations that CSP9 decided to no longer include the project of a searchable online database in the WGTR agenda, due to the limited support among States Parties to take concrete steps to take this project further.

15. A few delegations responded to the mention of the searchable online database, expressing their continued support for such an instrument in view of its potential contribution to presenting the information from States Parties' annual reports in a transparent manner. Other delegations intervened to point out the limited substance that is currently included in the Information Exchange Platform and to support the use of the online reporting tool.

Agenda item 4: WGTR mandate in the period between CSP10 and CSP11 (and alignment with the mainstream work of WGETI)

16. The Chair explained how the CSP9 decisions on the review of the ATT Programme of Work and the WGETI configuration and substance involved the WGTR aligning its work with the mainstream work of WGETI, through facilitating structured discussions on four topics concerning "accounting and reporting on arms transfers.⁵ The concrete topics are: i) transfer reports to ATT Secretariat; ii) reports to Cabinet; iii) reports to Parliament; audit enquiries; and iv) public request for information. For that purpose, the Chair prepared a draft multi-year workplan that unpacks and sequences these topics and provides a list of practical implementation questions, as well as a new draft mandate for the WGTR beyond CSP10 that includes a new agenda item on "national implementation practices regarding transparency", under which

⁴ As no proposals for alterations or additional questions and answers to the 'FAQ'-type guidance document on the annual reporting obligation were submitted ahead of the 23 February 2024 meeting, this topic was not addressed.

⁵ Draft proposal on the WGETI configuration and substance, included as Annex D in the WGETI Chair's Draft Report to CSP9 ([ATT/CSP9.WGETI/2023/CHAIR/767/Conf.Rep](#)), paragraph 8 (g).

the topics in the multi-year workplan will be discussed.⁶ The Chair emphasized that the draft multi-year workplan, including the list of practical implementation questions is intended to be a living document, open for review and update. It is also flexible: it can be adjusted in light of progress made in each session, and topics that have been discussed can be taken up in an additional session if delegations feel it would be beneficial to allow for more presentations on the topic in question. This applies in particular to the topic of “transfer reports to ATT Secretariat”.

17. Only a few delegations intervened under this agenda item. One delegation stated that the proposed approach will make the work of the WGTR more dynamic and that the draft multi-year workplan includes relevant questions for that purpose. Another delegation referred to the CSP9 decision calling on working group chairs to allocate time in their meetings to discuss the role of industry in responsible international arms transfers, and mentioned the use of commercial sale records to facilitate reporting about (actual) imports and exports, as well as broader transparency by industry actors about their role in compliance, risk assessment and human rights due diligence.⁷ In response, reference was made to the list of practical implementation questions regarding the topic of “transfer reports to ATT Secretariat”, which already addresses record-keeping requirements for exporters and importers as a method to generate the relevant information for a State Party’s annual report. Broader discussions on the role of industry in compliance, risk assessment and human rights due diligence are already on the agenda of the WGETI Sub-working Group on Current and Emerging Implementation Issues.⁸

18. In concluding the discussion on this agenda item, the Chair requested delegations who still want to see any concrete amendments or additional questions reflected in the draft multi-year workplan, the list of practical implementation questions or the proposed mandate for the WGTR beyond CSP10, to submit their proposals in writing. In absence of such proposals following the 23 February 2024 meeting, the documents were to be considered as completed deliverables for CSP10 and the WGTR Chair would proceed immediately with the preparation of this report and the WGTR’s recommendations for CSP10.

WGTR BRIEFING DURING 16-17 MAY 2024 CSP10 INFORMAL PREPARATORY MEETING

19. During the CSP10 Informal Preparatory Meeting on 16 May 2024, the Chair presented his draft report and recommendations. In line with the conclusions during the 23 February 2024 WGTR meeting, delegations had no further substantive comments on the two deliverables for CSP10 and the draft recommendations. Delegations reiterated their support for the multi-year workplan and for the initiatives of the ATT Secretariat to address the downward trend in reporting, including the regional reporting champions initiative. In that respect, some States Parties that have accepted this role of regional reporting champion confirmed their commitment and were encouraged by other delegations to cooperate with regional organizations and civil society in their efforts. States Parties facing reporting challenges were encouraged to seek assistance, with reference to the new ATT Needs and Resources Matching Database on the ATT website. Several delegations also underlined again the need for synergies with other reporting

⁶ Introductory Paper for the 23 February 2024 WGTR meeting ([ATT/CSP10.WGTR/2024/CHAIR/779/IntroPaper](https://att.ohchr.org/documents/E/CN.4/Sub.2/2024/IntroPaper/IntroPaper.pdf)), Attachment A (draft multi-year workplan with draft list of practical implementation questions) and Attachment B (proposed mandate for the WGTR for the period September 2024-August 2025).

⁷ See paragraph 22 (f) of the CSP9 Final Report ([ATT/CSP9/2023/SEC/773/Conf.FinRep.Rev2](https://att.ohchr.org/documents/E/CN.4/Sub.2/2023/SEC/773/Conf.FinRep.Rev2.pdf)).

⁸ See in that respect paragraph 5 et seq. of the working document on the WGETI Sub-working Group on Current and Emerging Implementation Issues ([ATT/CSP10.WGETI/2024/CHAIR/775/LetterSub.Docs](https://att.ohchr.org/documents/E/CN.4/Sub.2/2024/CHAIR/775/LetterSub.Docs.pdf)).

commitments, in particular UNROCA.

20. One delegation reminded the Sub-working Group of the CSP5 decision to encourage all Working Group Chairs to consider gender aspects (the gendered impact of arms exports) and called on States Parties to consider including information about their national practices regarding GBV, in their initial and annual reports. Examples given included GBV data collection, GBV-related transfer denials and mitigating measures. In response to these comments, the Chair suggested that in the next CSP cycle, practical implementation questions on this could be suggested. It is further noted, nevertheless, that the discussion on the GBV risk assessment criteria is a topic of the WGETI, which is currently under discussion in its Sub-working Group on Current and Emerging Implementation Issues, and recommended to be discussed there further in the next CSP cycle.

RECOMMENDATIONS FOR CSP10

21. Based on the above and considering the work undertaken by the WGTR to fulfil its mandate for the period between CSP9 and CSP10, the Working Group recommend that CSP10:

- 1) *urges States Parties that are not fully compliant with their reporting obligations to submit their reports or, in case of difficulty to do so, to make use of the available assistance mechanisms to address their challenges, including the Voluntary Trust Fund and the new ATT Needs and Resources Matching Database (ATT International Assistance Database);*
- 2) *notes with appreciation the States Parties that have accepted to take on the role of “regional reporting champion” and encourages those States Parties to cooperate with relevant regional organisations to undertake activities to raise awareness about the ATT reporting obligations in their region and to enhance the reporting capacity of States Parties in their region;*
- 3) *welcomes the new project of the ATT Secretariat regarding capacity-building for ATT reporting and expresses its continuing support to the ATT Secretariat in undertaking activities to improve the fulfilment of the ATT reporting obligations by States Parties, including seeking synergies with similar reporting instruments;*
- 4) *continues to encourage all other ATT stakeholders to take initiatives to raise awareness and provide assistance regarding States Parties that are not fully compliant with the reporting obligations, in line with the Outreach Strategy on Reporting that was adopted at CSP4;*
- 5) *encourages States Parties, as well as signatory State, where appropriate, to exchange information on matters of mutual interest regarding the implementation and application of the ATT, and to make use of the information exchange platform for that purpose;*
- 6) *welcomes the draft multi-year work plan for the WGTR exchange of national implementation practices regarding transparency, as included in attachment A of this report, to be reviewed and updated by the Working Group, as appropriate;*
- 7) *encourages States Parties and other ATT stakeholders to volunteer to give presentations for the different topics included in the draft multi-year workplan, taking into account the practical implementation questions for each topic;*
- 8) *endorses the mandate for the WGTR in the period between CSP10 and CSP11, as included in attachment B of this report.*

ATTACHMENT A

DRAFT MULTI-YEAR WORK PLAN FOR THE WGTR EXCHANGE OF NATIONAL IMPLEMENTATION PRACTICES REGARDING TRANSPARENCY

This multi-year workplan deals with the structured discussions on national implementation practices regarding transparency in the Working Group on Transparency and Reporting (WGTR). It is an attachment of the WGTR Chair's Draft Report to CSP10, and gives effect to the instruction of the CSP9 to "explore possibilities of aligning [the] work [of the WGTR] with the mainstream work of WGETI", which is set out in the proposal on the WGETI configuration and substance that was adopted at CSP9.¹ The workplan arranges the concrete topics that have been identified for the structured discussions in the order that they will be discussed in the three-hour sessions of the WGTR that will address the agenda item "national implementation practices regarding transparency", noting that, in principle, every meeting of the WGTR will consist of two three-hours sessions and one of these sessions will be dedicated to the said agenda item.

In each session, the co-chairs will start the discussion with a short introduction about the topic in question. After this, the States Parties that agreed to do so will give their presentations about their practical implementation and national practices concerning the topic. In their presentations, States Parties will be guided by the practical implementation questions that have been prepared for each topic and that are included in the Annex of this multi-year workplan. If applicable for the particular session, the stakeholders invited to contribute to the session will then provide their contribution, also taking into account the practical implementation questions. This will then be followed by a Q&A session and information exchanges, which, if feasible, will include information on possibilities for international cooperation and assistance in response to the challenges or constraints that were raised, for example, through the Voluntary Trust Fund, as well as identifying issues that might benefit from further clarification within the WGTR.

WGTR Exchange of National Implementation Practices regarding Transparency: Structured discussions	
Session 1 (3 hours)	Topic 1: Transfer reports to ATT Secretariat <i>Under this topic, the WGTR will address the practical implementation of the annual reporting obligation in Article 13 (3) of the Treaty in a comprehensive manner. The WGTR will look at: i) the decisions States make about which information to report; ii) the sources which States use to extract that information from; iii) how States collect and consolidate the information; iv) which authorities are involved in the reporting process; v) which inter-agency cooperation arrangements are in place; and vi) how all these elements are laid down in legislation, administrative regulations and formal procedures and processes. Through the exchange of national reporting practices, the WGTR will aim to identify common practical implementation challenges as well as good reporting practices.</i>

¹ See paragraphs 24 (f) and 26 (h) of the Final Report of CSP9 ([ATT/CSP9/2023/SEC/773/Conf.FinRep.Rev2](#)) and Annex D of the WGETI Chair's Draft Report to CSP9 ([ATT/CSP9.WGETI/2023/CHAIR/767/Conf.Rep](#)).

	<p>The <u>practical implementation questions</u> which delegations are to take into account in their contributions/presentations on this topic are set out on pages 1-2 of the Annex to this multi-year workplan.</p>
<p>Session 2 (3 hours)</p>	<p>Topic 2: Reports to Cabinet and/or Parliament</p> <p><i>Under this topic, the WGTR will address the information about arms transfers that States Parties provide to their Cabinet and/or Parliament and the purposes of that information-sharing, including legislation, procedures and competent authorities.</i></p> <p>The <u>practical implementation questions</u> which delegations are to take into account in their contributions/presentations on this topic are set out on pages 2-3 of the Annex to this multi-year workplan.</p>
<p>Session 3 (3 hours)</p>	<p>Topic 3: Audit enquiries</p> <p><i>Under this topic, the WGTR will address the auditing of the ministries, departments and agencies involved in arms transfer controls regarding their compliance with national arms transfer laws and regulations.</i></p> <p>The <u>practical implementation questions</u> which delegations are to take into account in their contributions/presentations on this topic are set out on page 3 of the Annex to this multi-year workplan.</p>
<p>Session 4 (3 hours)</p>	<p>Topic 4: Public request for information</p> <p><i>Under this topic, the WGTR will address the information about arms transfers that States Parties provide to the general public through reporting and freedom of information requests, including legislation, procedures and competent authorities.</i></p> <p>The <u>practical implementation questions</u> which delegations are to take into account in their contributions/presentations on this topic are set out on pages 3-4 of the Annex to this multi-year workplan.</p>

ANNEX

LIST OF PRACTICAL IMPLEMENTATION QUESTIONS PER TOPIC TO BE DISCUSSED

Draft

Initial remark

1. As indicated in the multi-year workplan above, the practical implementation questions are provided to guide delegations' contributions/presentations on the topic to be discussed.

Topic 1: Transfer reports to ATT Secretariat

Substantive elements

1. Does your State report about authorised or actual exports and imports or both)?
2. Does your State use the UN definitions or national definitions for the compulsory categories of conventional arms?
3. Does your State include exports and imports of small arms for civilian purposes in the report?
4. Does your State report about additional national categories of conventional arms or other items?
5. Does your State make the reports on exports and/or imports publicly available? When and how is a decision on public availability taken?
6. Does your State consider certain information (or type of export/import) to have commercially sensitivity or national security implications? If so, in which instances does it need to be omitted from the report? When and how is such a decision taken?
7. Does your State report about the quantity (number of items) or the financial value of exports and imports (or both)?
8. Does your State break down (disaggregate) the information about exports and imports per country?
9. Does your State break down (disaggregate) the information on SALW according to type?
10. Does your State provide additional information about (certain types of) exports and imports?

Procedural and institutional elements

11. From which documents or databases is the relevant information for the annual report extracted and processed?

12. Which ministries, departments and/or agencies handle these documents or databases?
13. Does your State have record-keeping requirements for exporters and importers to generate the relevant information for your State's annual reports?
14. Which ministries, departments and/or agencies are charged with collecting and consolidating the relevant information? Does one ministry, department or agency act as coordinator of the reporting process or is a specific inter-agency committee established or charged? In case of the latter, which ministries, departments and/or agencies need to be part of the committee? Which one is in the lead and initiates the process? Who has the decision-making authority about the (final) content of the report?
15. How is collecting and consolidating the relevant information done in practice?
16. Who transmits the annual report to the ATT Secretariat?
17. Who acts as reporting focal point for the ATT Secretariat?
18. How are requests for clarification by the ATT Secretariat be dealt with?
19. Does your State have a formalized national reporting process or a national procedures document in place that includes guidance on all the elements and steps above? Which deadlines apply to each step in order to comply with the deadline of 31 May for the submission of the report to the ATT Secretariat?
20. How are personnel changes be dealt with?

International cooperation and international assistance

21. Are there specific contributions that international cooperation between States (Parties) and/or further discussions within the ATT process could make to facilitate or support compliance with the annual reporting obligation?
22. Is your State in a position to provide assistance to other States Parties on compliance with the annual reporting obligation? Does your State need assistance on compliance with the annual reporting obligation or has your State already received assistance on this in the past, via the VTF or another international assistance provider? In case of the latter, could you elaborate on this?

Topic 2: Reports to Cabinet and/or Parliament

1. Does your State systematically provide information about proposed, authorized and/or actual arms transfers to Cabinet and/or Parliament?
2. If so, does this information cover all categories of arms and all transfers by State actors as well as non-State actors?

3. What type of information is provided? In what format is this information provided (e.g. annual report or proposed arms transfers)? How often is this information provided?
4. If information is systematically provided, is there a systematic review of this information in Parliament, for example in a dedicated hearing?
5. In case information is provided to Parliament about *proposed* arms transfers, does Parliament have a role in the decision-making process?
6. Is the submission of information mentioned above laid down in your State's laws and/or regulations?
7. Which ministries, departments and/or agencies are or may be involved in these information submission processes?
8. Can your State's parliament ask for specific information about arms transfers or the government's arms transfer policies? To what extent are your government's arms transfer policies the subject of parliamentary scrutiny?

Topic 3: Audit enquiries

1. Does your State provide for the auditing of government compliance with national arms transfer laws and regulations?
2. Is the audit process mentioned above set out in national laws, regulations or procedures?
3. How is sensitive information or documentation dealt with during the auditing process?
4. How are audit outcomes (reports) processed? Are these submitted to Parliament or made publicly available?

Topic 4: Public request for information

1. Does your State systematically provide information about authorized and/or actual arms transfers to the general public?
2. If so, does this information cover all categories of arms and all transfers by State actors as well as non-State actors?
3. What type of information is provided? In what format is this information provided (e.g. annual report)? How often is this information provided?
4. Is this sharing of information laid down in your State's laws and/or regulations?

5. Does your State have a procedure for private persons and organisations to request access to official information and/or documentation regarding arms transfers? Is this a special procedure for information and/or documentation regarding arms transfers, or the general procedure for access to any government information and/or documentation?
6. If so, is this procedure laid down in your State's laws and/or regulations?
7. Which ministries, departments and/or agencies are or may be involved in this procedure?
8. What type of information of official information and/or documentation regarding arms transfers can be requested?
9. Can persons or organisations request access to official information and/or documentation on arms transfers? If so, what are the requirements to be met e.g. proof of special interest?
10. If official information and/or documentation is provided, are there restrictions on its use?
11. If applicable, on which grounds can a request be denied?
12. Can a decision to deny the requested access be challenged? If so, are the options administrative or judicial in nature? What are the possible outcomes of challenges?

ATTACHMENT B**PROPOSED MANDATE FOR THE WGTR FOR THE PERIOD SEPTEMBER 2024 - AUGUST 2025**

In accordance with its Terms of Reference and Rule 42(2) of the ATT Rules of Procedure, the Working Group would like to propose for consideration by CSP10 the following tasks for further work in the period between CSP10 and CSP11:

1. The WGTR shall continue to conduct exchanges concerning the fulfilment of the reporting obligations in Article 13 of the ATT and the broader issue of transparency in the international trade in conventional arms. In its meetings, the WGTR shall, as a minimum, deal with the following standing agenda items:
 - a. State of play of compliance with ATT reporting obligations;
 - b. Supporting compliance with ATT reporting obligations;
 - c. Supporting information exchange between States Parties;
 - d. National implementation practices regarding transparency (“accounting and reporting”); and
 - e. WGTR mandate in the period between CSP11 and CSP12.

2. With regard to the **state of play of compliance with ATT reporting obligations**, the WGTR will:
 - a. review the status of reporting at every meeting, thereby focusing on the progress that has been made in comparison to the previous status updates.

3. With regard to the **supporting compliance with ATT reporting obligations**, the WGTR will:
 - a. invite delegations to brief the WGTR about their initiatives to promote reporting and support States Parties in addressing their reporting challenges and to propose new initiatives in that regard (implementation of the “Outreach strategy on reporting”¹);
 - b. monitor the implementation of other ATT initiatives to support reporting compliance, including the project of voluntary practical bilateral and regional assistance with reporting (peer-to-peer) and the online reporting functionality;
 - c. give delegations the opportunity to raise and discuss substantive issues about the ATT reporting obligations that could benefit from consideration by the WGTR; and
 - d. consider submitted proposals for alterations or additional questions and answers for the ‘FAQ’-type guidance document on the annual reporting obligation, adopted at CSP3 and updated at CSP5 and CSP8.²

¹ The “Outreach strategy on reporting” is included as Annex A in the WGTR Co-Chairs’ Draft Report to CSP4, available on the ATT website, in the Working Groups section of the CSP4 Conference documents page: <https://www.thearmstradetreaty.org/conference-documents-csp-4.html>.

² The ‘FAQ’-type guidance document on the annual reporting obligation is available on the ATT website, on the

4. With regard to supporting **information exchange between States Parties**, the WGTR will:
 - a. invite delegations to exchange practices regarding the Treaty's information exchange requirements and encouragements, in particular those in Articles 11 (5), 13 (2) and 15 (2-4) and 15 (7) of the Treaty; and
 - b. monitor the use of the information exchange platform on the ATT website and further examine ways for the platform and other means to support the work of the WGTR and the WGETI as well as cooperation between States Parties.

5. With regard to **national implementation practices regarding transparency**, the WGTR will:
 - a. hold discussions in accordance with the multi-year workplan that was welcomed by CSP10 and the working arrangements that are included in the proposal on the WGETI configuration and substance which was adopted at CSP9.³

6. With regard to the **WGTR mandate in the period between CSP11 and CSP12**, the WGTR will review the relevance of the aforementioned agenda items in the light of the state of play of ATT transparency and reporting, with a view to preparing a proposal for consideration by CSP11.

Reporting Requirements page: <https://www.thearmstradetreaty.org/reporting.html>.

³ See the draft proposal on the WGETI configuration and substance, included as Annex D in the WGETI Chair's Draft Report to CSP9 (<ATT/CSP9.WGETI/2023/CHAIR/767/Conf.Rep>), paragraph 11.